

# Derivation of Boltzmann equation from BBGKY

Start from evolution equations for  $f_1, f_2$

$$\left( \frac{\partial}{\partial t} + \underline{\vec{v}_1 \cdot \nabla_{\vec{r}_1}} + \underline{\vec{F}_1 \cdot \nabla_{\vec{p}_1}} \right) f_1 = - \int d\vec{r}_2 \underline{\vec{K}_{12} \cdot \nabla_{\vec{p}_1}} f_2$$

$$\left( \frac{\partial}{\partial t} + \underline{\vec{v}_1 \cdot \nabla_{\vec{r}_1}} + \underline{\vec{v}_2 \cdot \nabla_{\vec{r}_2}} + \underline{\vec{F}_1 \cdot \nabla_{\vec{p}_1}} + \underline{\vec{F}_2 \cdot \nabla_{\vec{p}_2}} + \underline{\vec{K}_{12} (\vec{v}_{p_1} - \vec{v}_{p_2})} \right) f_2 = - \int d\vec{r}_3 \underline{(\vec{K}_{13} \cdot \nabla_{\vec{p}_1} + \vec{K}_{23} \cdot \nabla_{\vec{p}_2})} f_3$$

*free streaming*      *external forces*      *two-body interactions*

and realize that in a dilute gas ( $\rho_0 \ll 1$ ) terms on the RHS are suppressed by powers of the dilute gas parameter

$\frac{\rho_0^3}{q^3}$ . Specifically the term containing  $f_3$  represents a higher-order correction and will be neglected at leading order

$\Rightarrow$  closed set of evolution equations at the two-body level ( $f_1, f_2$ )

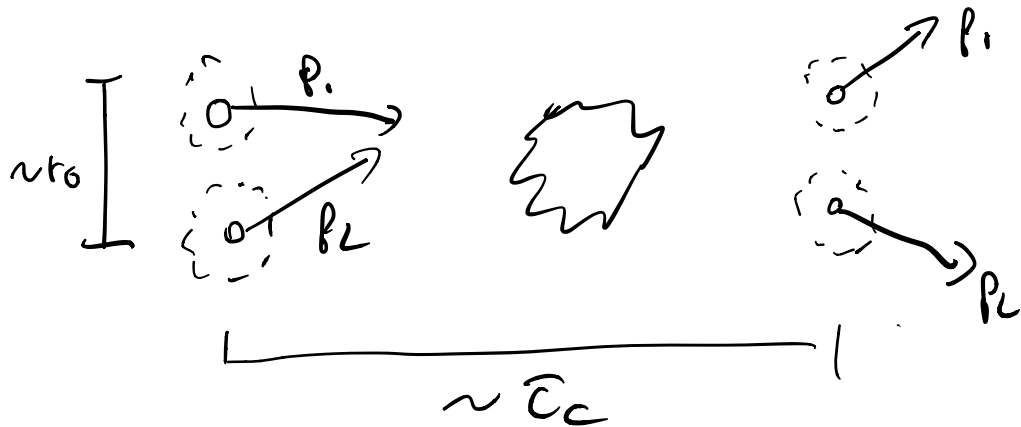
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Strategy of derivation will be to find an approximate solution for  $f_2$  and use it to close evolution equations for  $f_1$ , which will account for the effect of collisions

$$\left( \frac{d}{dt} + \underbrace{\vec{v}_1 \cdot \nabla_{r_1} + \vec{v}_2 \cdot \nabla_{r_2}}_{\text{motion of particles } i,2} + \underbrace{\vec{F}_1 \cdot \nabla_{p_1} + \vec{F}_2 \cdot \nabla_{p_2}}_{\text{forces on particles } i,2} + \underbrace{K_{i,2}(\vec{v}_{p_1} - \vec{v}_{p_2})}_{\text{interactions of particles } i,2} \right) f_2 \approx 0$$

Now most of the time effect of  $f_2$  on  $f_1$  is negligible, due to suppression by dilution. However, when two particles get close to each other  $f_2$  will change rapidly over a time scale  $\sim \tau_c$ , which is the effect that we want to capture

Now consider such a collision event

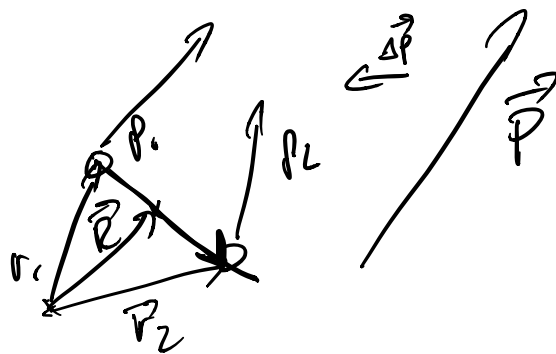


which takes place on a microscopic distance  
scale  $\sim r_0$  over a microscopic time  
scale  $\sim \tau_c$ .

Due to energy & momentum conservation  
the COM momentum  $\vec{p}_1 + \vec{p}_2$  will  
be unchanged during the collision,  
whereas  $\vec{p}_1 - \vec{p}_2$  varies rapidly

Similarly the position  $\vec{r}_1 - \vec{r}_2$  which  
 dictates the force  $\vec{K}_{12}(\vec{r}_1 - \vec{r}_2)$  varies  
 on the scale  $\sim r_0$ , which is significant  
 in describing the two-body interaction.  
 However, the fact that  $\vec{r}_1 - \vec{r}_2$  changes  
 by  $\sim r_0$  is negligible if we consider  
 particle densities and external forces  
 to vary on scales  $L \gg r_0$

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Now to take advantage of those simplifications  
we introduce new coordinates

$$\vec{P} = \vec{p}_1 + \vec{p}_2 \quad \Delta\vec{p} = \frac{1}{2}(\vec{p}_1 - \vec{p}_2)$$

$$\vec{R} = \frac{1}{2}(\vec{r}_1 + \vec{r}_2) \quad \Delta\vec{r} = (\vec{r}_2 - \vec{r}_1)$$

such that

$$\vec{\nabla}_{\vec{p}_1} = \frac{\partial \vec{P}}{\partial \vec{p}_1} \vec{\nabla}_{\vec{P}} + \frac{\partial \Delta\vec{p}}{\partial \vec{p}_1} \vec{\nabla}_{\Delta\vec{p}} = \vec{\nabla}_{\vec{P}} + \frac{1}{2} \vec{\nabla}_{\Delta\vec{p}}$$

$$\vec{\nabla}_{\vec{p}_2} = \frac{\partial \vec{P}}{\partial \vec{p}_2} \vec{\nabla}_{\vec{P}} + \frac{\partial \Delta\vec{p}}{\partial \vec{p}_2} \vec{\nabla}_{\Delta\vec{p}} = \vec{\nabla}_{\vec{P}} - \frac{1}{2} \vec{\nabla}_{\Delta\vec{p}}$$

$$\vec{\nabla}_{\vec{r}_1} = \frac{1}{2} \vec{\nabla}_{\vec{R}} - \vec{\nabla}_{\Delta\vec{r}}$$

$$\vec{\nabla}_{\vec{r}_2} = \frac{1}{2} \vec{\nabla}_{\vec{R}} + \vec{\nabla}_{\Delta\vec{r}}$$

Evaluating the various terms in the  
evolution equation for  $\psi_2$ , we have

$$\frac{\vec{p}_1}{m} \vec{\nabla}_{r_1} + \frac{\vec{p}_2}{m} \vec{\nabla}_{r_2} = \frac{1}{2} \frac{\vec{p}_1 + \vec{p}_2}{m} \vec{\nabla}_R - \frac{\vec{p}_1 - \vec{p}_2}{m} \vec{\nabla}_{\Delta r}$$

$$\vec{F}_1 \vec{\nabla}_{p_1} + \vec{F}_2 \vec{\nabla}_{p_2} = (\vec{F}_1 + \vec{F}_2) \vec{\nabla}_P + \frac{1}{2} (\vec{F}_1 - \vec{F}_2) \vec{\nabla}_{\Delta P}$$

and the evolution equation for  $\psi$  takes the form

$$\left[ \frac{\partial}{\partial t} + \frac{1}{2} \frac{\vec{P}}{m} \vec{\nabla}_R - 2 \frac{\Delta P}{m} \vec{\nabla}_{\Delta r} + (\vec{F}_1 + \vec{F}_2) \vec{\nabla}_P + \frac{1}{2} (\vec{F}_1 - \vec{F}_2) \vec{\nabla}_{\Delta P} + \hbar^{-1} \epsilon (\Delta r)^2 \vec{\nabla}_{\Delta P} \right] \psi = 0$$

Now since the separation  $|\Delta r|$  has to be of order  $\sim \lambda$  for collisions to take place, we have  $\vec{r}_1 \approx \vec{r}_2$  and thus  $\vec{F}_1 \approx \vec{F}_2$  and we can neglect the terms

Since we are interested in describing effects of collisions on time scales  $\sim \tau_c$  where effects of COM motion and external forces are negligible, we can further drop the associated terms

So we get

$$\left[ \frac{d}{dt} - \left( \frac{\Delta \vec{p}}{m} \vec{\nabla}_{\Delta \vec{r}} + \vec{K}_{12}(\Delta \vec{r}) \vec{\nabla}_{\Delta \vec{p}} \right) \right] f_2 = 0$$

describing the relative motion of two particles during a collision event

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Now to obtain the collision integral of the Boltzmann equation, we need

$$\left. \frac{df_1}{dt} \right|_{\text{coll}} = - \int d\vec{r}_2 \underline{\vec{K}_{12}(\vec{r}_1 - \vec{r}_2)} \vec{\nabla}_{\vec{p}_1} f_2$$

subject to coarse graining on time scales  $\Delta t \Rightarrow \tau_{\text{coll}}$  and  $\Delta r \gg r_0$

During a collision  $f_2$  can change rapidly,  
unless

$$\vec{K}_{12}(\Delta\vec{r}) \vec{\nabla}_{\Delta\vec{p}} f_2 = 2 \frac{\Delta\vec{p}}{m} \vec{\nabla}_{\Delta\vec{p}} f_2$$

which is a stationary solution ( $\frac{\partial}{\partial t} f_2 \approx 0$ )  
of the evolution equation for relative  
motion

Since we are interested in averages  
over long time scales, we will  
approximate the evolution of  $f_2$   
by its quasi-stationary solution  
where using

$$\vec{\nabla}_{\Delta\vec{p}} = \vec{\nabla}_{p_1} - \vec{\nabla}_{p_2} \quad \parallel \quad 2 \frac{\Delta\vec{p}}{m} = \vec{v}_1 - \vec{v}_2$$

$$\underline{\vec{K}_{12}(\Delta\vec{r})} (\underline{\vec{\nabla}_{p_1} - \vec{\nabla}_{p_2}}) \underline{f_2} = (\underline{\vec{v}_1 - \vec{v}_2}) \vec{\nabla}_{\Delta\vec{p}} f_2$$

such that by evaluating  $\frac{\delta H}{\delta \vec{r}}|_{\text{coll}}$   
 and realizing that  $\vec{V}_{\vec{r}_2}$  gives rise  
 to a vanishing boundary term, we  
 obtain

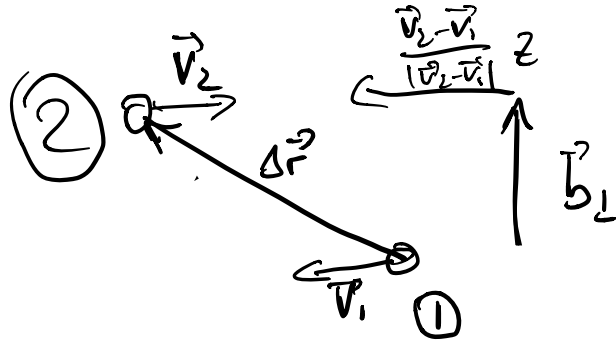
$$\frac{\delta H}{\delta \vec{r}}|_{\text{coll}} = \int \frac{d^3 r_2 d^3 p_2}{(2\pi\hbar)^3} (\vec{v}_2 - \vec{v}_1) \vec{V}_{\Delta \vec{r}} t_2(t_1, \vec{r}_1, \vec{p}_1, \vec{r}_2, \vec{p}_2)$$

Now to evaluate this, we first realize  
 that we can change integration variables

$$\int d^3 \vec{r}_2 = \int d^3 (\vec{r}_2 - \vec{r}_1) = \int d^3 \Delta \vec{r}$$

which we can further decompose  
 with respect to its components, parallel  
 and perpendicular to the relative  
 velocity

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$$\Delta \vec{r} = \frac{\vec{r}_2 - \vec{r}_1}{|\vec{r}_2 - \vec{r}_1|} z + \vec{b}_\perp$$

such that evaluating

$$(\vec{r}_2 - \vec{r}_1) \cdot \vec{\nabla}_{\Delta \vec{r}} = |\vec{r}_2 - \vec{r}_1| \frac{\partial}{\partial z}$$

$$\int d^3 \Delta \vec{r} = \int d^2 \vec{b}_\perp \int dz$$

we get

$$\left. \frac{\partial f_0}{\partial t} \right|_{\text{coll}} = \int \frac{d^3 p_L}{(2\pi\hbar)^3} \int d^2 \vec{b}_\perp |\vec{r}_2 - \vec{r}_1|$$

$$\int dz \frac{\partial}{\partial z} f_2 \left( t, \vec{r}_1, \vec{p}_1, \vec{r}_1 + \vec{b}_\perp + z \frac{\vec{r}_2 - \vec{r}_1}{|\vec{r}_2 - \vec{r}_1|}, \vec{p}_2 \right)$$

Now performing the  $z$ -integration of the denominator, we get

$$= \int \frac{d^3 p_L}{(2\pi\hbar)^3} \int d\vec{b}_\perp |\vec{v}_2 - \vec{v}_1| \left[ \int_{z=-\infty}^{z=+\infty} f_2 \left( t, \vec{r}_1, \vec{p}_1, \vec{r}_1 + \vec{b}_\perp + z \frac{\vec{v}_2 - \vec{v}_1}{|\vec{v}_2 - \vec{v}_1|}, \vec{p}_2 \right) \right]$$

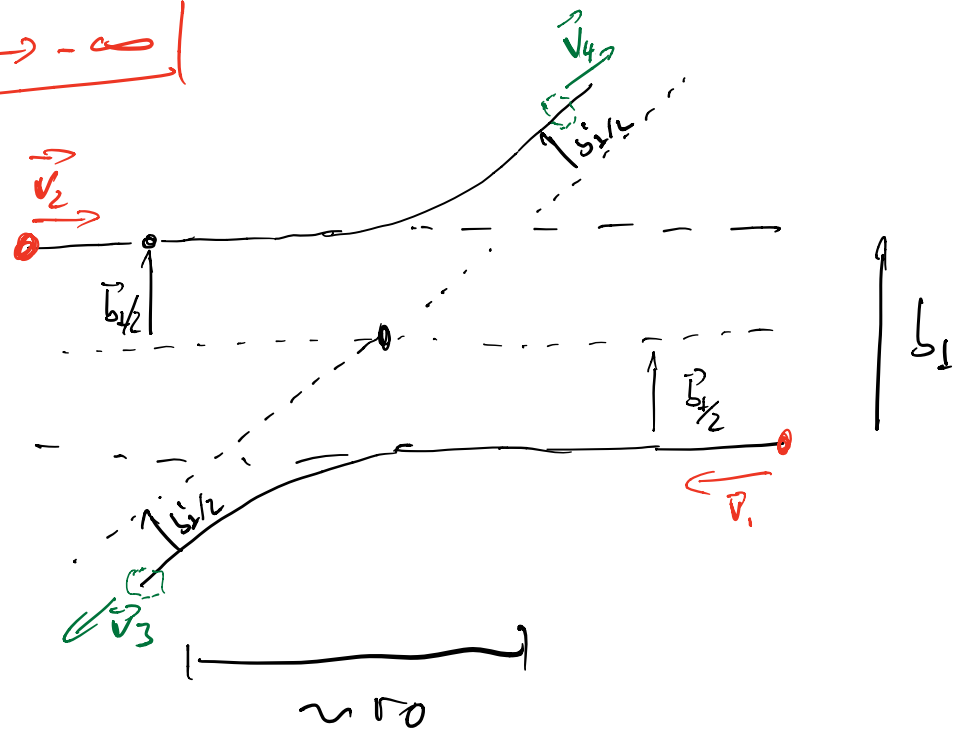
which describes the two particle correlation function before ( $z \rightarrow -\infty$ ) and after ( $z \rightarrow +\infty$ ) the collision has taken place

Now if we investigate the two possibilities, we have

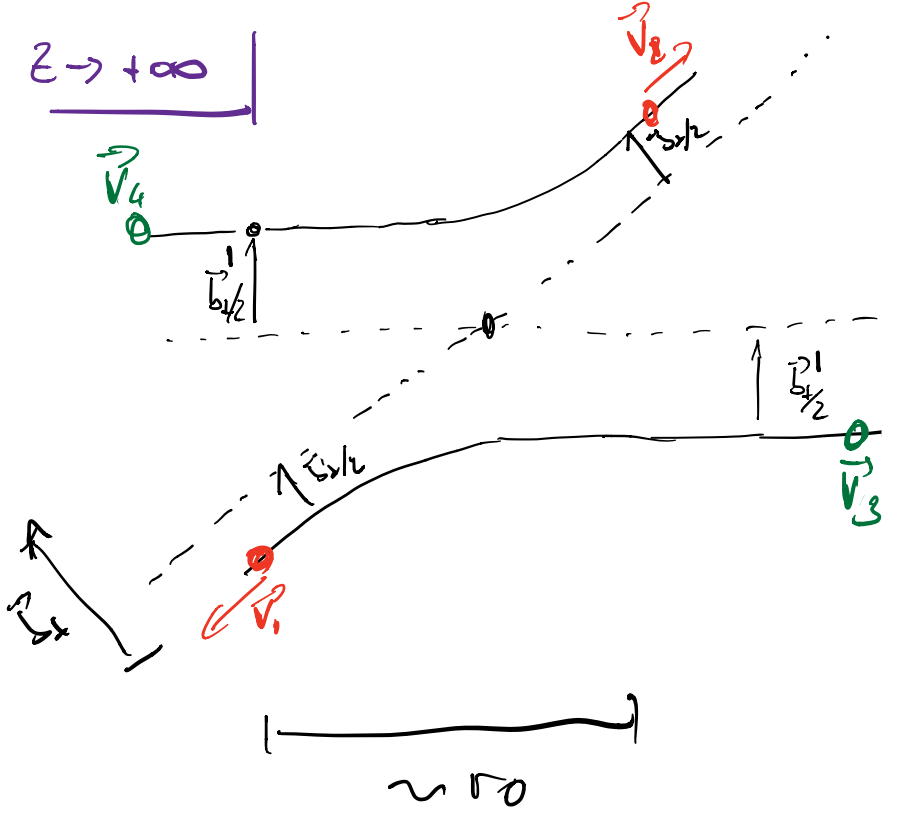
$z \rightarrow -\infty$       $\vec{v}_1, \vec{v}_2$      velocities of particles  
before the collision

$z \rightarrow +\infty$       $\vec{v}_1', \vec{v}_2'$      velocities of particles  
after the collision

$z \rightarrow -\infty$



$z \rightarrow +\infty$





Since the microscopic scattering process is deterministic, there is a one-to-one mapping between the velocities  $(\vec{v}_1, \vec{v}_2)$  and  $(\vec{v}_3, \vec{v}_4)$  before and after the collision

$z \rightarrow +z$

$\Rightarrow$  probability to have  $\vec{v}_1, \vec{v}_2$  after the collision is identical to probability of having  $\vec{v}_3, \vec{v}_4$  before the collision

$$f_2(t, \vec{r}_1, \vec{p}_1, \vec{r}_2 + \vec{b}_2 \oplus \mathcal{E} \frac{\vec{v}_2 - \vec{v}_1}{|\vec{v}_2 - \vec{v}_1|}, \vec{p}_2) \\ = f_2(t, \vec{r}_1, \vec{p}_3, \vec{r}_1 + \vec{b}_1 \ominus \mathcal{E} \frac{\vec{v}_4 - \vec{v}_3}{|\vec{v}_4 - \vec{v}_3|}, \vec{p}_4)$$

where  $\vec{p}_{3/4} = \vec{p}_{3/4}(\vec{b}_{2/1}, \vec{p}_1, \vec{p}_2)$  is determined by solution to classical scattering problem

So no os term

$$\frac{\partial f_1}{\partial t} \Big|_{\text{coll}} = \int \frac{d^3 p_2}{(2\pi\hbar)^3} \int d\vec{\sigma}_1 |\vec{v}_2 - \vec{v}_1|$$

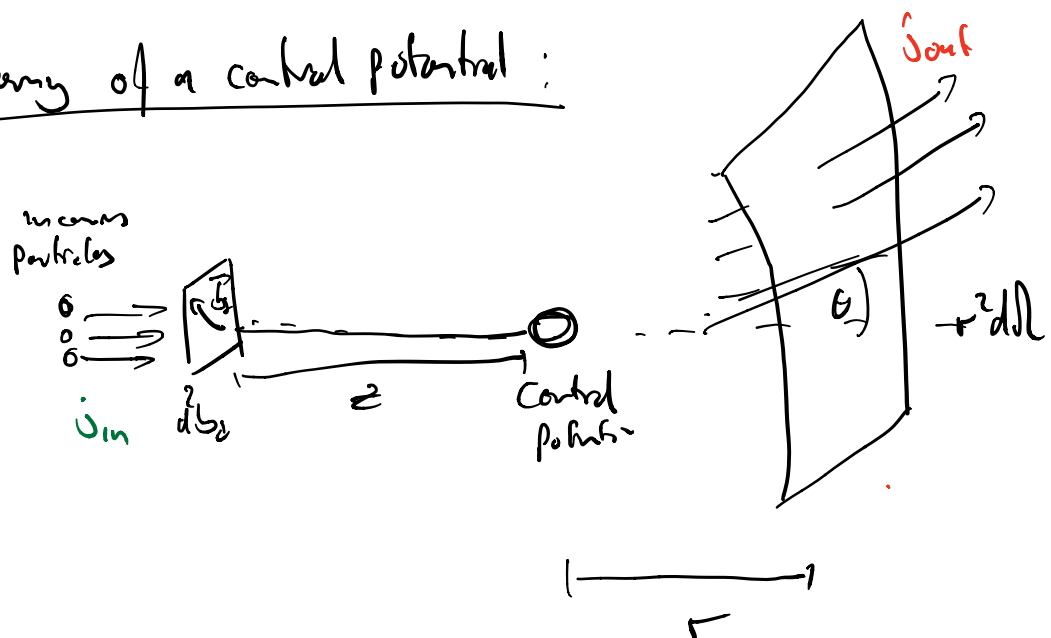
$$\left[ f_2(f_1 \vec{p}_1, \vec{p}_3, \vec{p}_1 + \vec{\sigma}_1 - \infty \frac{\vec{v}_2 - \vec{v}_3}{|\vec{v}_2 - \vec{v}_3}|, \vec{p}_4) \right. \\ \left. - f_2(f_1 \vec{p}_1, \vec{p}_1, \vec{p}_1 + \vec{\sigma}_1 - \infty \frac{\vec{v}_2 - \vec{v}_1}{|\vec{v}_2 - \vec{v}_1}|, \vec{p}_2) \right]$$

which starts to resemble the structure  
of gain and loss terms

Now that we have expressed the  
all occurrences of  $f_2$  in terms  
of  $f_2$  before the collision, we can  
later make use of the molecular  
chaos assumption

However to obtain the collision integral in the Boltzmann equation, it is usual form, we still have to express the result in terms of a number integral which is given by classical scattering theory (c.f. classical mechanics course)

### Scattering of a central potential:



described in terms of differential cross-section

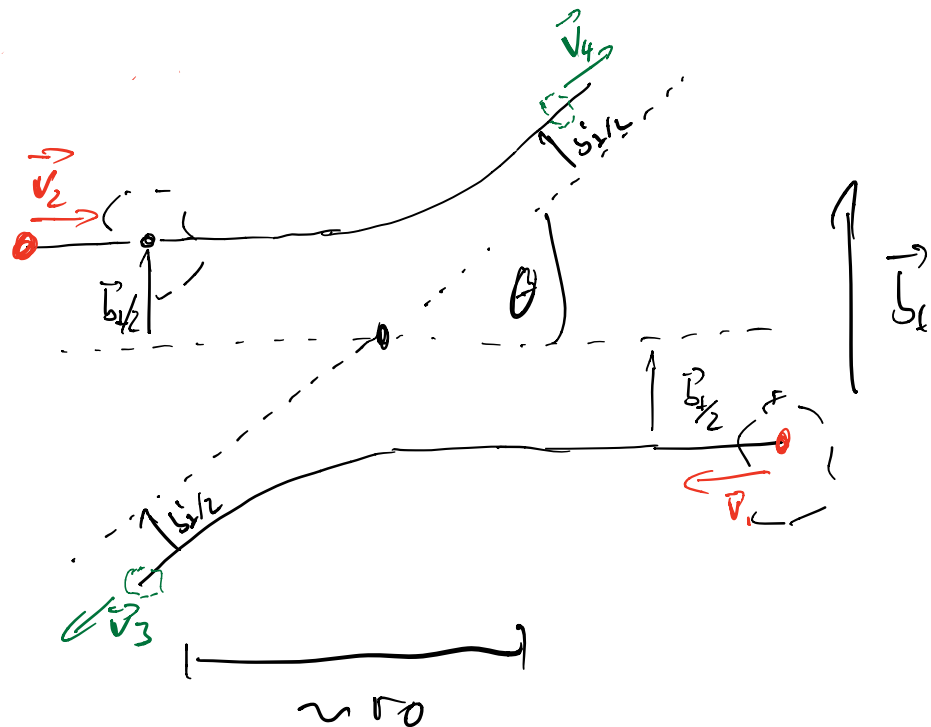
$$\left| \frac{j_{out}}{j_{in}} \right| (E_{com}, \theta, \phi) = \frac{1}{r^2} \frac{d\sigma}{d\Omega} (E_{com}, \theta, \phi)$$

where  $R_{\text{oom}}$ ,  $\theta$ ,  $\varphi$  are given in terms of number of incoming and outgoing particles

Now since all particles need to end up somewhere, the total flux is conserved

$$\int |\dot{\sigma}_{\text{in}}| d\vec{\Omega}_1 = \int |\dot{\sigma}_{\text{out}}| r^2 d\Omega = \int \frac{d\sigma}{d\Omega} |\dot{\sigma}_{\text{in}}| d\Omega$$

Scattering of two particles:



can be mapped to scattering in a central potential by separating COM and relative motion of particles

So we can express

$$\int d^2b_{\perp} |\vec{v}_2 - \vec{v}_1| f_2(t, \vec{r}_1, \vec{p}_1, \vec{r}_1 + \vec{b}_{\perp} - \infty \frac{\vec{v}_2 - \vec{v}_1}{|\vec{v}_2 - \vec{v}_1}|, \vec{p}_2)$$

independent chaos

$$\approx \int d^2b_{\perp} |\vec{v}_2 - \vec{v}_1| f_0(t, \vec{r}_1, \vec{p}_1) f_1(t, \vec{r}_1 + \vec{b}_{\perp} - \infty \frac{\vec{v}_2 - \vec{v}_1}{|\vec{v}_2 - \vec{v}_1}|, \vec{p}_2)$$

incoming flux of particles

$$= \int d\Omega \frac{d\sigma}{d\Omega} |\vec{v}_2 - \vec{v}_1| f_0(t, \vec{r}_1, \vec{p}_1) f_1(t, \vec{r}_1 + \vec{b}_{\perp} - \infty \frac{\vec{v}_2 - \vec{v}_1}{|\vec{v}_2 - \vec{v}_1}|, \vec{p}_2)$$

Coarse graining  
 $\vec{b}_{\perp} \sim r_0 \ll \Delta r$

$$\approx \int d\Omega \frac{d\sigma}{d\Omega} |\vec{v}_2 - \vec{v}_1| f_0(t, \vec{r}_1, \vec{p}_1) f_0(t, \vec{r}_1, \vec{p}_2)$$

Similarly for gas, then, we  
 can use energy conservation

$|\vec{v}_2 - \vec{v}_1| = |\vec{v}_3 - \vec{v}_4|$  and again  
 relate the fluxes

$$\int d^3v_2 |\vec{v}_2 - \vec{v}_1| f_2(t, \vec{r}_1, \vec{p}_3, \vec{p}_1 + \vec{p}_2 - \infty \frac{\vec{v}_4 - \vec{v}_3}{|\vec{v}_4 - \vec{v}_3|}, \vec{p}_2)$$

$$\approx \int d\Omega \frac{d\sigma}{d\Omega} |\vec{v}_2 - \vec{v}_1| f_1(t, \vec{r}_1, \vec{p}_3) f_1(t, \vec{r}_1, \vec{p}_4)$$

So collecting everything, we get

$$\left. \frac{\delta f_1}{\delta t} \right|_{\text{coll}} = \int \frac{d^3p_2}{(2\pi\hbar)^3} \int \frac{d\sigma}{d\Omega} d\Omega |\vec{v}_2 - \vec{v}_1|$$

$$\left[ f_1(t, \vec{r}_1, \vec{p}_3) f_1(t, \vec{r}_1, \vec{p}_4) - f_1(t, \vec{r}_1, \vec{p}_2) f_1(t, \vec{r}_1, \vec{p}_1) \right]$$

which agrees with our heuristic derivation

We learn in addition, that the transition rates are determined by the scattering cross section

$$\int \frac{1}{2} \tilde{W}(p_1 p_2 \rightarrow p_3 p_4) \frac{d^3 p_3}{(2\pi)^3} \frac{d^3 p_4}{(2\pi)^3} = \int \frac{d\sigma}{d\Omega} (\vec{p}_1, \vec{p}_2, \vec{p}_3, \vec{p}_4) |\vec{p}_2 - \vec{p}_1| d\Omega$$

where energy and momentum conservation are readily taken into account in the microscopic calculation, thus reducing the dimensionality of the integral